

Introduction to mathematical cryptography

Lecture 1: Classical cryptography and discrete logarithms

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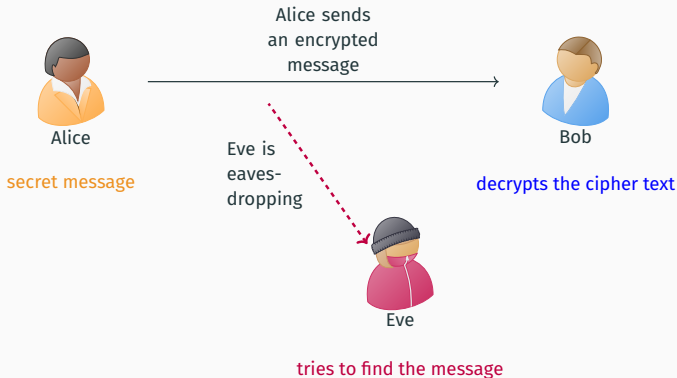
Preliminary Arizona Winter School 2025



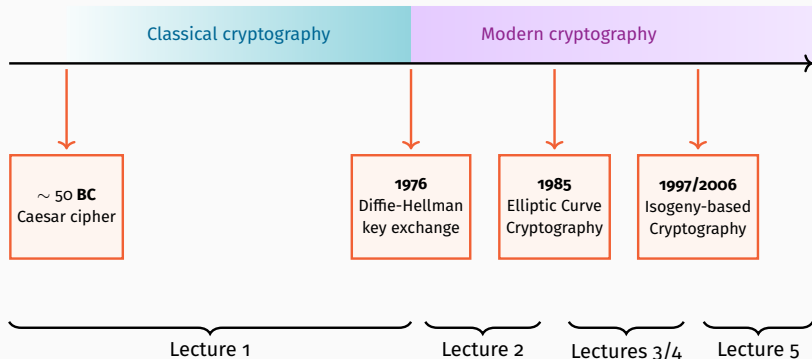
What is cryptography?

$\underbrace{\kappa\rho\upsilon\pi\tau\omicron\varsigma}_{\text{to hide}} + \underbrace{\gamma\rho\alpha\varphi\epsilon\iota\upsilon}_{\text{to write}}$

Cryptography is used to obscure information from an eavesdropper.

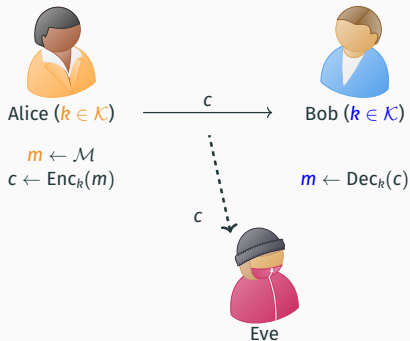


What will we learn in this course?



A brief introduction to cryptography

Encryption scheme



\mathcal{K} key space

\mathcal{M} message space

\mathcal{C} cipher text space

Encryption function: $\text{Enc} : \mathcal{K} \times \mathcal{M} \rightarrow \mathcal{C}$

Decryption function: $\text{Dec} : \mathcal{K} \times \mathcal{C} \rightarrow \mathcal{M}$

requirement: $\text{Dec}_k(\text{Enc}_k(m)) = m \quad \forall m \in \mathcal{M}, k \in \mathcal{K}$

Caesar cipher

historical cipher used by Julius Caesar (100 BC - 44 BC)

- **Idea:** Shift every letter in a word by 3 positions.

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	...
D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	...

- **Example:** HELLO \mapsto KHOOR

Formal description

- $\mathcal{A} = \{A, \dots, Z\} = \{0, \dots, 25\}$
- $\mathcal{M} = \mathcal{C} = \mathcal{A}^* = \{a_1 \dots a_n \mid a_i \in \mathcal{A}, n \in \mathbb{N}\}$
- $\text{Enc}(m_1 \dots m_n) = (c_1 \dots c_n)$ with $c_i = m_i + 3 \pmod{26}$
- $\text{Dec}(c_1 \dots c_n) = (m_1 \dots m_n)$ with $m_i = c_i - 3 \pmod{26}$



There is no key.

Anyone knowing the encryption method can decrypt!

Kerckhoff's principle

Il faut qu'il [le système] n'exige pas le secret, et qu'il puisse sans inconvénient tomber entre les mains de l'ennemi.

— Auguste Kerckhoff, *la cryptographie militaire*, 1883

- The system must be secure, even if everything about it, except the secret key, is public knowledge.
- Important for modern cryptography. Why?
 - makes cryptography better (public peer review)
 - keeping the scheme secret is unrealistic in most scenarios
 - easier to change a secret key than changing the entire system

Opposite principle: Security through obscurity.

- unlikely to provide long-term security
- can be used to *complement* a (public) system

Improving the Caesar cipher?

Version 1: choose a secret shift $k \in \{0, \dots, 25\}$ \Rightarrow 26 keys.

Version 2: choose a secret linear transformation
 $m \mapsto k_a \cdot m + k_b \pmod{26}$

\Rightarrow 26 · 12 keys

\Rightarrow The key spaces are too small.

An attacker can test all possible keys until a valid text is found.

Exercise `sdge`

Decipher: IFELTKH URFENHA FEEFSFU TSVGEDN ULTKFBF

Improving the Caesar cipher?

Version 3: choose a secret permutation of the letters

⇒ $26! \approx 2^{88}$ keys

⇒ Still insecure against **frequency analysis**.

- **Idea:** each language has a characteristic distribution of letters or other patterns
- **English language**
 - most common letters: *E, T, A*
 - most common pairs: *TH, ER, ON*
 - most common repeats: *SS, EE, TT*
- first sources from 8th century: رسالة في استخراج المعمى
(A Manuscript on Deciphering Cryptographic Messages, Al-Kindi)

Exercise **SDQE**

Decipher: JIVQOJIV LEALAVQO KGOONDTV QOAELONE OAINYNGJ SOB-
VQODB CLAVQOKG OONDTJIV QOJIVLEA EIBHTBLO YBLEQPIG AA

Symmetric cryptography vs public key cryptography

Symmetric cryptography

- Alice and Bob share the **same secret key k**
- Examples of symmetric encryption schemes:
 - variants of the Caesar cipher (historical, insecure)
 - AES = Advanced Encryption Standard (modern scheme, standardized in 2000)
- Alice and Bob need to agree on a secret key in advance

Public key cryptography

- Alice and Bob have their **own secret key sk** and a **corresponding public key pk** , related by a **cryptographic one-way function**
- important cryptographic primitive: **Public key exchange**
⇒ allows Alice and Bob to find a shared secret key communicating over a public channel

Cryptographic one-way functions

Definition

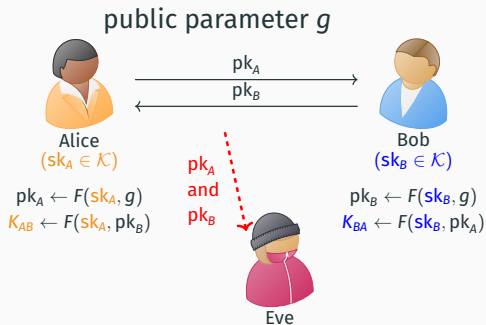
A function $f : X \rightarrow Y$ is a cryptographic one-way function if

1. f is easy to compute,
2. f is hard to invert.

Conjectured (!) examples

- Multiplication: $F : \mathcal{P} \times \mathcal{P} \rightarrow \mathbb{Z}$, where \mathcal{P} is the set of primes.
 - Given $p, q \in \mathcal{P}$, we can compute $p \cdot q$ in polynomial time
 - Factoring $N = p \cdot q$ is computationally (!) hard.
- Modular exponentiation (Section 2)
- Elliptic curve multiplication (Section 3)
- Isogenies (Section 4)

Public key exchange

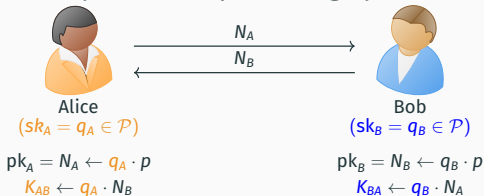


- sk : secret key
- pk : public key
- F : one-way functions
 \Rightarrow Given pk_A it is hard to find sk_A .

requirement: $F(sk_A, F(sk_A, g)) = F(sk_B, F(sk_A, g))$
 $\forall sk_A, sk_B$, so that $K_{AB} = K_{BA}$

Example based on factorization Non-example

Public parameter: $p \in \mathcal{P}$ large prime



- correctness ✓

$$K_{AB} = q_A \cdot N_B = q_A \cdot (q_B \cdot p) = q_B \cdot (q_A \cdot p) = q_B \cdot N_A = K_{BA}$$

- security ✗¹

Given N_A , the secret key q_A is efficiently computed as $q_A = N_A/p$.

$\Rightarrow f_p : \mathcal{P} \rightarrow \mathbb{N}$ with $f_p(q) = p \cdot q$ is not a one-way function.

¹It has proven to be difficult to construct key exchange based on factorization, but there is an important public key encryption scheme related to this problem: RSA.

Discrete logarithm problem and Diffie-Hellman key exchange

New Directions in Cryptography

Invited Paper

WHITFIELD DIFFIE AND MARTIN E. HELLMAN, MEMBER, IEEE

- 1976: Whitfield Diffie and Martin Hellman propose the *first* key exchange protocol
- Marks the beginning of “modern cryptography”:
changing the ancient art into a science
- Diffie-Hellman key exchange is the basis of many modern protocols

Modular exponentiation and the discrete logarithm problem

In this lecture, we consider modular exponentiation for some prime field \mathbb{F}_p :

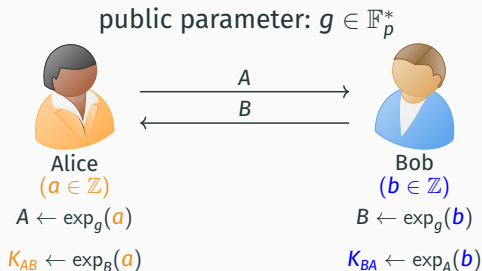
$$\text{exp}_g : \mathbb{Z} \rightarrow \mathbb{F}_p, \quad a \mapsto g^a,$$

Discrete Logarithm Problem (DLP)

For $g \in \mathbb{F}_p^*$ primitive root,
 $A \in \mathbb{F}_p^*$,
the DLP asks to find $a \in \mathbb{Z}$
so that $\text{exp}_g(a) = A$.
Notation: $a = \text{dlog}_g(A)$.

- exp_g is easy to compute (square-and-multiply techniques)
 - No polynomial-time algorithms for computing dlog_g are known (next lecture)
- $\Rightarrow \text{exp}_g$ is a (conjectured) cryptographic one-way function.

Diffie-Hellman key exchange



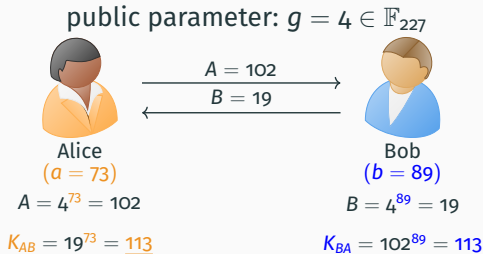
correctness ✓

$$K_{AB} = \exp_B(a) = (g^b)^a = (g^a)^b = \exp_A(b) = K_{BA}$$

security

- Given g and $pk_A = A$, it is hard to compute $sk_A = a = \text{dlog}_g(A)$ **if** the DLP is hard in \mathbb{F}_p .
- Given g , $pk_A = A$, $pk_B = B$, it *seems hard* to compute $K_{AB} = K_{BA}$ without solving the DLP (slide 17).

Example: Diffie-Hellman key exchange



Note $\text{ord}(4) = 113 \neq 226 = p - 1$

- This choice is made on purpose in order to work in a prime-order subgroup of \mathbb{F}_p^*

For $g \in \mathbb{F}_p^*$, $A \in \langle g \rangle$, the DLP and the notation $\text{dlog}_g(A)$ are well-defined (analogous to the definition on slide 13).

Why work in a prime order subgroup?

How hard is it to solve the DLP for some parameters $g \in \mathbb{F}_p^*$ and A ?

- Naive approach: For all $a \in \{0, \dots, q-1\}$ check if $\exp_g(a) = A$.
better algorithms in the next lecture

⇒ Intuitively, the hardness depends on $q = \text{ord}(g)$.

- We can do better if $\text{ord}(g) = q$ is composite!

Example $p = 443$, $g = 2 \in \mathbb{F}_p^*$ with $\text{ord}(g) = 442 = 2 \cdot 13 \cdot 17$.

We want to find $a = \text{dlog}_g(A)$ with $A = 74$.

- $a \pmod{2}$: Compute $A^{221} = 442 \neq 1$, hence $a \equiv 1 \pmod{2}$.
- $a \pmod{13}$: Compute $A' = A^{2 \cdot 17} = 356$ and $g' = g^{2 \cdot 17} = 35$.
 $A' \in \langle g' \rangle$ and $\text{ord}(g') = 13$. We find $\text{dlog}_{g'}(A') = 6$, hence $a \equiv 6 \pmod{13}$.
- $a \pmod{17}$: Analogously, we find $a \equiv 4 \pmod{17}$.

⇒ Chinese remainder theorem: $a \equiv 123 \pmod{2 \cdot 13 \cdot 17}$

General approach: Pohlig-Hellman algorithm (see the exercises)

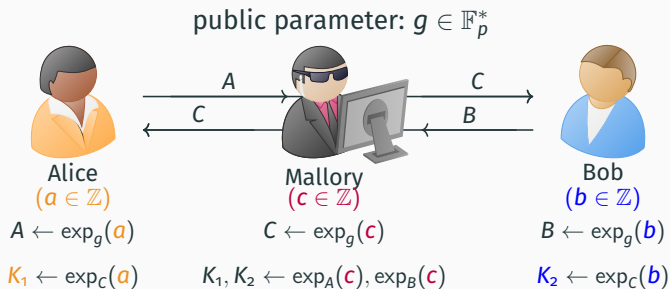
The computational Diffie-Hellman problem

Computational Diffie-Hellman problem (CDH)

For $g \in \mathbb{F}_p^*$, $A = g^a$, $B = g^b$ with (secret) a, b , the CDH asks to find $C = g^{ab}$.

- If CDH is hard, then DLP is hard (CDH reduces to DLP)
- Are the problems equivalent? **open question**
 - The best known algorithms to solve CDH rely on solving DLP
 - Maurer reduction: reduction from DLP in group A to CDH in group B (constructing B is not easy)
 - Algebraic group model: equivalence proven under the assumption that the adversary is *algebraic*
- **Food for thought:** Which of the following are easy to compute?
 g^{a+b} , g^{a-b} , g^{a^2} , g^{2a} , g^{-a} , $g^{1/a}$, $g^{a/b}$

Man-in-the-middle-attack

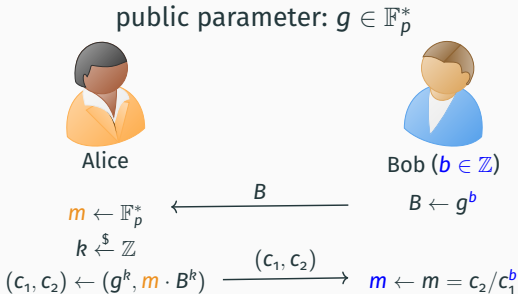


⇒ The Diffie-Hellman key exchange protocol is not secure against active adversaries

- additional **authentication is required**
- Diffie-Hellman key exchange serves as an important **building block** for such advanced protocols

El Gamal Encryption

Public key encryption scheme based on DLP, proposed by Taher Elgamal in 1985.



Security

- If DLP or CDH are easy, then the ElGamal system is insecure.
- It can be shown that the system is CPA secure if the *Decisional Diffie-Hellman problem* is hard as well.

Summary of Lecture 1

Caesar cipher and variants

- **symmetric**: Alice and Bob possess the same secret key
- **substitution ciphers** (letters are encrypted individually)
- historic, today **insecure**

Diffie-Hellman key exchange

- **asymmetric**: Alice and Bob have different secret keys
- based on **modular exponentiation** in a finite field \mathbb{F}_p
- security is based on the hardness of **DLP and CDH**

Next lecture

- How hard is the DLP?
- Babystep-giantstep, Pollard's rho and index calculus algorithm