

# PAWS Root Systems: PROBLEM SET 4

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**Question 1:** Following Dr. Emory's explanation for  $G_2$ , check that the Cartan matrix for  $B_\ell$  given in the lecture is correct. Use this to re-derive the corresponding Dynkin diagram.

**Question 2:** In your own words, what parts of our definition of a root system dictate what parts of the structure of a Cartan matrix? What if we did not require condition 4, the integrability condition/Crystallographic condition?

**Question 3:** The commutator of two square matrices  $A, B$  is

$$[A, B] = AB - BA.$$

- (1) Compute the commutator of  $A = \begin{pmatrix} 1 & 2 \\ 2 & 4 \end{pmatrix}$  and  $B = \begin{pmatrix} 3 & 0 \\ 1 & 5 \end{pmatrix}$ .
- (2) Compute the commutator of  $A = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$  and  $B = \begin{pmatrix} 1 & 7 \\ 0 & 3 \end{pmatrix}$ .
- (3) One interpretation of the commutator is that it measures the extent to which objects commute. For example, with  $A$  and  $B$  commuting,  $AB = BA$ , so the commutator is zero. Prove that if  $A$  is a scalar multiple of the identity matrix, then  $[A, B] = 0$  for any  $B$ .
- (4) Analogously to how matrices act on vector spaces, operators like the derivative act on spaces of functions. We know that taking a derivative then multiplying the resulting function by  $x$  is different than first multiplying by  $x$  and then taking a derivative. To "measure" this non-commutativity, let  $\frac{d}{dx}(f) = f'$  and  $M_x(f) = x \cdot f$  and compute  $[\frac{d}{dx}, M_x]$ .

**Question 4:** Let  $A$  be an algebra over a field  $K$ . A  $K$ -derivation is a  $K$ -linear map  $\delta : A \rightarrow A$  that satisfies

$$\delta(ab) = a\delta(b) + \delta(a)b \quad \text{for all } a, b \in A.$$

- (1) Prove that the commutator of two derivations is again a derivation.
- (2) Prove that the set of all derivations  $\mathcal{D}$  forms a Lie algebra over  $K$ .



Figure 1: *Dynkin donuts*. Image credit: Ying Hong Tham.

**Question 5:** This problem refers to the above figure.

- (1) For each Dynkin diagram in the figure above, write down a Cartan matrix associated to it, and identify its associated root system.
- (2) Which of the Dynkin diagrams have a nontrivial diagram automorphisms?

**Question 6:** Draw the Dynkin diagram of  $D_4$ . Observe that it is the only connected Dynkin diagram with a diagram automorphism group of size  $> 2$ .

**Question 7:** Prove that the group of diagram automorphisms of a Dynkin diagram associated to a root system  $R = (\Phi, V)$  with Weyl group  $W$  is isomorphic to the quotient group  $\text{Aut}(R)/W$ .

**Question 8:** Prove that a root system is irreducible if and only if its Dynkin diagram is connected.

**Question 9:** The root system  $F_4$  is the union of the root system  $B_4$  with the vectors

$$(\pm_1 \frac{1}{2}, \pm_2 \frac{1}{2}, \pm_3 \frac{1}{2}, \pm_4 \frac{1}{2})$$

for all choices of signs  $\pm_1, \dots, \pm_4$ . Recall that the  $B_\ell = (\Phi, \mathbb{R}^\ell)$  where  $\Phi$  is the set of vectors with integer coordinates and Euclidean length 1 or  $\sqrt{2}$ .

- (1) Prove that  $F_4$  is an irreducible root system.
- (2) Prove that  $\Delta = \{\alpha_1, \alpha_2, \alpha_3, \alpha_4\}$  base for  $F_4$ , where

$$\alpha_1 = \frac{1}{2}(e_1 - e_2 - e_3 - e_4), \quad \alpha_2 = e_4, \quad \alpha_3 = e_3 - e_4, \quad \alpha_4 = e_2 - e_3.$$

- (3) Compute the Cartan matrix for  $F_4$  and draw its Dynkin diagram.

**Question 10:** The  $E_8$  root system is the union of the root system  $D_8$  with the vectors

$$\frac{1}{2} \sum_{j=1}^8 \pm_j e_j$$

for all choices of signs  $\pm_1, \dots, \pm_8$  with an even number of minus signs. Recall that the  $D_\ell = (\Phi, \mathbb{R}^\ell)$  where  $\Phi$  is the set of vectors with integer coordinates and Euclidean length  $\sqrt{2}$ .

- (1) Prove that  $E_8$  is an irreducible root system.
- (2) Check that  $\Delta = \{\alpha_1, \dots, \alpha_8\}$  is a base for  $E_8$ , where

$$\alpha_1 = \frac{1}{2}(e_1 + e_8 - \sum_{j=2}^7 e_j), \quad \alpha_2 = e_7 + e_8, \quad \alpha_k = e_{10-k} - e_{11-k} \text{ for } 3 \leq k \leq 8.$$

- (3) Compute the Cartan matrix for  $E_8$  and draw its Dynkin diagram.
- (4) For  $\ell = 6, 7$ , the root system  $E_\ell$  is the subsystem of  $E_8$  generated by  $\{\alpha_1, \dots, \alpha_\ell\}$ . Realize the Cartan matrix of  $E_\ell$  as an  $\ell \times \ell$  submatrix of the Cartan matrix of  $E_8$ . Consequently, realize the Dynkin diagrams for  $E_6$  and  $E_7$  as subgraphs of the Dynkin diagram for  $E_8$ .

**Question 11:** Let  $\mathfrak{g}$  be a Lie algebra over a field  $F$ .

- (1) Show that  $[x, y] = -[y, x]$  for all  $x, y \in \mathfrak{g}$ . This property is called anti-commutativity.
- (2) When  $\text{char}(F) \neq 2$ , show that anti-commutativity is equivalent to the alternating property in the definition of a Lie algebra.

**Question 12:** In this question, we will think about how the Lie algebra  $\mathfrak{sl}_2(\mathbb{C})$  of  $2 \times 2$  trace 0 matrices relates to the root system  $A_1$ .

- (1) Let  $\mathfrak{sl}_2(\mathbb{C})$  denote the Lie algebra of  $2 \times 2$  trace 0 matrices over  $\mathbb{C}$ . The Lie bracket  $[X, Y]$  is given by the commutator  $XY - YX$ . Convince yourself that this is indeed a Lie algebra.
- (2) Convince yourself that this Lie algebra is spanned by the elements

$$H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad E = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad F = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

- (3) Consider the map

$$\text{ad} : \mathfrak{sl}_2 \rightarrow \text{End}(\mathfrak{sl}_2) \quad \text{given by} \quad X \mapsto [X, -].$$

Show that the subspace spanned by  $E$  is an eigenspace of  $\text{ad}(H)$  with eigenvalue  $\alpha = 2$ . Denote the subspace  $\mathfrak{g}_\alpha$ . Similarly, show that the subspace spanned by  $F$  is an eigenspace for  $\text{ad}(H)$  with eigenvalue  $-\alpha$ . Denote this subspace by  $\mathfrak{g}_{-\alpha}$ .

- (4) Let  $\mathfrak{h}$  denote the subspace spanned by  $H$ . Observe that

$$\mathfrak{sl}_2 = \mathfrak{h} \oplus \mathfrak{g}_\alpha \oplus \mathfrak{g}_{-\alpha}.$$

This is the *root space decomposition* of the Lie algebra of  $\mathfrak{sl}_2$  with *Cartan subalgebra*  $\mathfrak{h}$ . Setting  $\Phi = \{\alpha, -\alpha\}$ , observe that  $(\Phi, \mathbb{R}) \cong A_1$ .

**Question 13:** In this question, we will think about how the Lie algebra  $\mathfrak{sl}_3(\mathbb{C})$  of  $3 \times 3$  trace 0 matrices relates to the root system  $A_2$ .

- (1) Let  $\mathfrak{sl}_3(\mathbb{C})$  denote the Lie algebra of  $3 \times 3$  trace 0 matrices over  $\mathbb{C}$ . The Lie bracket  $[X, Y]$  is given by the commutator  $XY - YX$ . Convince yourself that this is indeed a Lie algebra.
- (2) Convince yourself that this Lie algebra is spanned by the elements

$$\begin{aligned} H_1 &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad H_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}, \\ E_{1,2} &= \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad E_{1,3} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad E_{2,3} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, \\ F_{2,1} &= \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad F_{3,1} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \quad F_{3,2} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \end{aligned}$$

- (3) Let  $V$  be the vector space of real valued linear functions on the real vector space spanned by  $H_1$  and  $H_2$ . Identify  $V$  with a subspace of  $\mathbb{R}^3$  as follows. First, denote by  $\bar{e}_i$  the functional which computes the  $i$ 'th diagonal entry. Consider the linear map  $T : \mathbb{R}^3 \rightarrow V$  given by  $e_i \mapsto \bar{e}_i$ . Prove that  $V$  may be identified with  $W$ , the orthogonal complement of  $(1, 1, 1) \in \mathbb{R}^3$ , by observing that  $T|_W$  is an isomorphism.
- (3) Consider the map

$$\text{ad} : \mathfrak{sl}_3 \rightarrow \text{End}(\mathfrak{sl}_3) \quad \text{given by} \quad X \mapsto [X, -].$$

For each  $X \in \{E_{1,2}, E_{1,3}, E_{2,3}, F_{2,1}, F_{3,1}, F_{3,2}\}$ , show that the subspace spanned by  $X$  is a simultaneous eigenspace of  $\text{ad}(H_1)$  and  $\text{ad}(H_2)$  with real eigenvalues, say  $\alpha_{1,X}$  and  $\alpha_{2,X}$ , respectively. Denote this subspace as  $\mathfrak{g}_{\alpha_X}$  where  $\alpha_X \in V$  is the linear functional given by  $\alpha(H_1) = \alpha_{1,X}$  and  $\alpha(H_2) = \alpha_{2,X}$ . Identifying  $V$  with a subspace of  $\mathbb{R}^3$  as in part (3), prove that

$$\begin{aligned} \alpha_{E_{1,2}} &= \alpha, \quad \alpha_{E_{1,3}} = \alpha + \beta, \quad \alpha_{E_{2,3}} = \beta \\ \alpha_{F_{2,1}} &= -\alpha, \quad \alpha_{F_{3,1}} = -(\alpha + \beta), \quad \alpha_{F_{3,2}} = -\beta \end{aligned}$$

where  $\alpha = (1, -1, 0)$  and  $\beta = (0, 1, -1)$ .

(4) Let  $\mathfrak{h}$  denote the subspace spanned by  $H_1$  and  $H_2$ . Observe that

$$\mathfrak{sl}_3(\mathbb{C}) = \mathfrak{h} \oplus \mathfrak{g}_\alpha \oplus \mathfrak{g}_{-\alpha} \oplus \mathfrak{g}_\beta \oplus \mathfrak{g}_{-\beta} \oplus \mathfrak{g}_{\alpha+\beta} \oplus \mathfrak{g}_{-(\alpha+\beta)}.$$

This is the *root space decomposition* of the Lie algebra of  $\mathfrak{sl}_2$  with *Cartan subalgebra*  $\mathfrak{h}$ . Setting  $\Phi = \{\pm\alpha, \pm\beta, \pm(\alpha + \beta)\}$ , observe that  $(\Phi, V) \cong A_2$ .

**Question 14:** For any Lie algebra  $\mathfrak{g}$ , the *Killing form* is the symmetric bilinear form  $\kappa$  on  $\mathfrak{g}$  defined by

$$\kappa(x, y) = \text{trace}(\text{ad}(x) \circ \text{ad}(y)).$$

Here,  $\text{ad}$  is the map

$$\text{ad} : \mathfrak{g} \rightarrow \text{End}(\mathfrak{g})$$

given by

$$x \mapsto [x, -].$$

(1) Show that for any  $x \in \mathfrak{g}$ , the operator  $\text{ad}(x) : \mathfrak{g} \rightarrow \mathfrak{g}$  is skew-symmetric with respect to  $\kappa$ , i.e.,

$$\kappa([x, y], z) = -\kappa(y, [x, z])$$

for all  $y, z \in \mathfrak{g}$ .

(2) A Lie algebra is semisimple if and only if the Killing form is *nondegenerate*, i.e. for all nonzero  $x \in \mathfrak{g}$ , there is some  $y \in \mathfrak{g}$  for which  $\kappa(x, y) \neq 0$ . Read the proof of this fact in Humphreys' *Introduction to Lie Algebras and Representation Theory*.

**Question 15:** Let  $\mathfrak{sl}_n$  denote the Lie algebra consisting of  $n \times n$  trace 0 matrices over  $\mathbb{R}$  or  $\mathbb{C}$ . Assume  $n = 2, 3$ . Show that for  $\mathfrak{sl}_n$ , the Killing form is given by

$$\kappa(X, Y) = 2n \text{trace}(XY).$$