

Bernd Sturmfels'

Arizona Lecture #2

Discriminants & Resultants

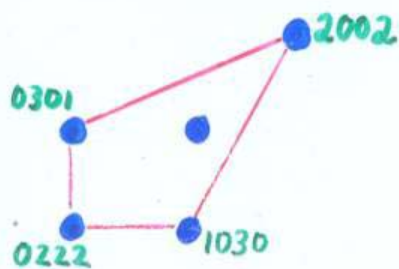
For a cubic polynomial

$$f(t) = x_1 + x_2 t + x_3 t^2 + x_4 t^3$$

the *discriminant* equals

$$\Delta = 27x_1^2 x_4^2 - 18x_1 x_2 x_3 x_4 + 4x_1 x_3^3 + 4x_2^3 x_4 - x_2^2 x_3^2$$

The Newton polytope
of Δ is a quadrangle



The A-Discriminant

$$A \in \mathbb{Z}^{d \times n} \quad \text{rank}(A) = d$$

$$(1, 1, \dots, 1) \in \text{rowspan}(A)$$

The matrix A represents a family of hypersurfaces in $(\mathbb{C}^*)^d$ defined by the *Laurent polynomial*

$$f(t) = \sum_{j=1}^n x_j \cdot t_1^{a_{1j}} t_2^{a_{2j}} \dots t_d^{a_{dj}}$$

Consider the set of all points $(x_1 : x_2 : \dots : x_n) \in \mathbb{P}_{\mathbb{C}}^{n-1}$ such that the hypersurface $\{f(t) = 0\}$ has a *singular point* in $(\mathbb{C}^*)^d$.

The closure of this set is an irreducible variety in $\mathbb{P}_{\mathbb{C}}^{n-1}$, denoted Δ_A and called the A -discriminant.

Often - but not always -

Δ_A is a hypersurface, defined by an irreducible polynomial $\in \mathbb{Z}$.

Example 1 $A = \begin{bmatrix} 0 & 1 & 2 \\ 2 & 1 & 0 \end{bmatrix}$
 $d=2, n=3$

$$f = x_1 t_2^2 + x_2 t_1 t_2 + x_3 t_1^2$$

$$\Delta_A = x_2^2 - 4x_1x_3$$

Discriminant of a binary form

Computing the A-discriminant

... for instance, in **Macaulay2**:

- Consider all partial derivatives of $f(t_1, \dots, t_d)$

$$\left\langle \frac{\partial f}{\partial t_1}, \frac{\partial f}{\partial t_2}, \dots, \frac{\partial f}{\partial t_d} \right\rangle$$

- This is an ideal in $d+n$ variables $t_1, \dots, t_d, x_1, \dots, x_n$.

- Eliminate t_1, \dots, t_d to get

$$\Delta_A(x_1, x_2, \dots, x_n)$$

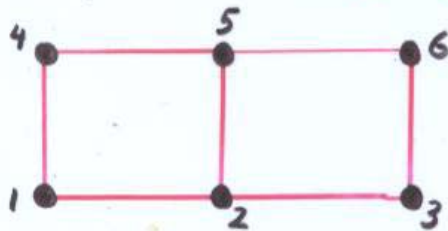
TRY IT
TOMITE

The Discriminant of a Rectangle...

$$d=3$$

$$n=6$$

$$A = \begin{pmatrix} 0 & 1 & 2 & 0 & 1 & 2 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 \end{pmatrix}$$



$$\begin{aligned} \varphi = & X_1 t_2 + X_2 t_1 t_2 + X_3 t_1^2 t_2 \\ & + X_4 t_3 + X_5 t_1 t_3 + X_6 t_1^2 t_3 \end{aligned}$$

To compute Δ_A , we take derivative.

$$\frac{\partial \varphi}{\partial t_1} = X_2 t_2 + 2X_3 t_1 t_2 + X_5 t_3 + 2X_6 t_1 t_3$$

$$\frac{\partial \varphi}{\partial t_2} = X_1 + X_2 t_1 + X_3 t_1^2$$

$$\frac{\partial \varphi}{\partial t_3} = X_4 + X_5 t_1 + X_6 t_1^2$$

... is the Sylvester Resultant

$$\Delta_A = \text{Res}_{t_1}(x_1 + x_2 t_1 + x_3 t_1^2, x_4 + x_5 t_1 + x_6 t_1^2)$$
$$= \det \begin{bmatrix} x_1 & x_2 & x_3 & 0 \\ 0 & x_1 & x_2 & x_3 \\ x_4 & x_5 & x_6 & 0 \\ 0 & x_4 & x_5 & x_6 \end{bmatrix}$$

This is a polynomial of degree 4
in 6 unknowns having 7 terms.

Can you draw its tropical hypersurface?

Punchline:

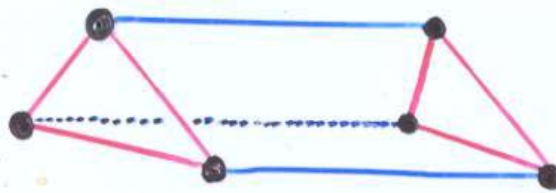
... discriminants \rightarrow resultants \rightarrow discriminants

... chickens \rightarrow eggs \rightarrow chickens \rightarrow ...

Determinantal Varieties

$$d=4 \quad n=6 \quad A = \begin{pmatrix} 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 \end{pmatrix}$$

Product
of **two**
simplices



a.k.a
TOBLERONE

... family of **bilinear** forms

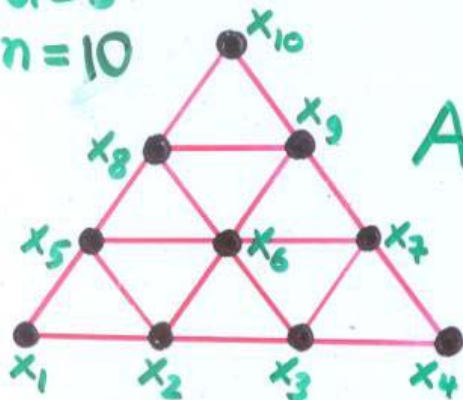
$$f = (t_1 \ t_2) \begin{bmatrix} x_1 & x_2 & x_3 \\ x_4 & x_5 & x_6 \end{bmatrix} \begin{pmatrix} t_3 \\ t_4 \\ t_5 \end{pmatrix}$$

The **A-discriminant** Δ_A is
the **codimension two** variety of
all rank one matrices $\begin{bmatrix} x_1 & x_2 & x_3 \\ x_4 & x_5 & x_6 \end{bmatrix}$.

Elliptic Curves

$d=3$

$n=10$



$$A = \begin{bmatrix} 3 & 2 & 2 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 2 & 1 & 0 & 3 & 2 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 2 & 0 & 1 & 2 & 3 \end{bmatrix}$$

$\varphi =$ homogeneous cubic polynomial in t_1, t_2, t_3

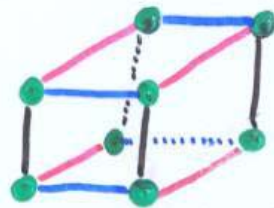
The discriminant Δ_A is a polynomial of degree 12 in 10 unknowns x_1, x_2, \dots, x_{10} which vanishes if and only if the plane cubic $\{\varphi=0\}$ has a singular point.

The discriminant Δ_A has 2040 monomials.

2x2x2-Hyperdeterminant

$$\mathcal{P} = \sum_{i,j,k=0}^1 X_{ijK} t_i^{(1)} t_j^{(2)} t_K^{(3)}$$

A = the 3-cube



$$\begin{aligned} \Delta_A &= 4X_{000}X_{011}X_{101}X_{110} + 4X_{001}X_{100}X_{010}X_{111} \\ &+ X_{000}^2X_{111}^2 + X_{001}^2X_{110}^2 + X_{010}^2X_{101}^2 + X_{100}^2X_{011}^2 \\ &- 2X_{000}X_{111}X_{001}X_{110} - 2X_{000}X_{111}X_{010}X_{101} \\ &- 2X_{000}X_{111}X_{100}X_{011} - 2X_{001}X_{110}X_{010}X_{101} \\ &- 2X_{001}X_{110}X_{100}X_{011} - 2X_{010}X_{101}X_{100}X_{011} \end{aligned}$$

Physicists call this the *tangle* ...

2x2x2x2-Hyperdeterminant

$$f = \sum_{i,j,k,l=0}^1 x_{ijkl} t_i^{(1)} t_j^{(2)} t_k^{(3)} t_l^{(4)}$$

A = the 4-cube

The A -discriminant Δ_A is the hyperdeterminant of the tensor (x_{ijkl})

It has degree 24 and is the sum of 2,894,276 monomials.

BERND




Algebraic Statistics
Phylogenetics

Can you "draw" the Newton polytope of Δ_A ?

It has only 25,448 vertices ...

What is wrong with all of these examples?

A: They are misleading because they are *too easy*.

Q: Are you *...*? What do you mean? 

A: In each case, the underlying toric variety X_A is smooth.

In APPLICATIONS OF ALGEBRAIC GEOMETRY we encounter arbitrary matrices A .

Q: Wasn't this all solved by Gel'fand - Kapranov - Zelevinsky?

The famous green book [GKZ 1994] ^{1/2}

- All classical resultants and discriminants are A -discriminants
- The Newton polytope of Δ_A is a Minkowski summand of the **secondary polytope** of Δ_A
- An **alternating** degree formula for Δ_A in the special case when the toric variety X_A is **smooth**.
- Techniques are quite **advanced** and give little information when X_A is not smooth
or $\text{codim}(\Delta_A) > 1$

Mixed Discriminants

-13-

... characterize systems of s equations in s unknowns that have a double root.

Here $d = 2s$
 $n =$ total number of terms.

RUNNING EXAMPLE $d=4, n=8$

$$A = \begin{pmatrix} 2 & 3 & 5 & 7 & 11 & 13 & 17 & 19 \\ 53 & 47 & 43 & 41 & 37 & 31 & 29 & 23 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix}$$

What does Δ_A mean?

And how to compute it?