

Hilbert's 10th Problem (H10):

Is there an algorithm with:

input: $f \in \mathbb{Z}[x_1, \dots, x_n]$

output:

YES if $\exists \vec{a} \in \mathbb{Z}^n$ such that $f(\vec{a}) = 0$.

NO otherwise

Answer: NO

(Davis-Putnam-Robinson 1961, Matijasevič 1970)

(An algorithm is a) **Turing Machine** \doteq a finite length computer program which accepts a non-negative integer input* and possibly prints characters to some output tape

* or other kinds of inputs provided that an encoding is fixed.

Definition: $S \subseteq \mathbb{Z}$ is recursive $\iff \exists$ algorithm with:

input: $n \in \mathbb{Z}$

output:

YES if $n \in S$

NO if $n \notin S$

Example: $\{2, 3, 5, 7, \dots\}$ is recursive.

Definition: $S \subseteq \mathbb{Z}$ is listable (recursively definable) $\iff \exists$ Turing machine such that S is the set of integers it prints out when left running forever.

Proposition: Every recursive set $S \subseteq \mathbb{Z}$ is listable.

Proof: We're given an algorithm T to decide membership in S . Construct a Turing machine T' that applies T to

$$0, 1, -1, 2, -2, \dots$$

in order, printing those that are in S .

Example: $S = \{a \in \mathbb{Z} : \exists x, y, z \text{ s.t. } x^3 + y^3 + z^3 = a\}$

Then S is listable:

for $B = 1, 2, \dots$

 for $x = -B$ to B

 for $y = -B$ to B

 for $z = -B$ to B

 print $x^3 + y^3 + z^3$

Is S recursive? Nobody knows.

Maybe

$$S = \{a \in \mathbb{Z} : a \not\equiv \pm 4 \pmod{9}\}?$$

If so, then S is recursive.

Halting Problem: Is there an algorithm with:

input: a computer program P and an integer x

output:

YES if program P when run on x eventually halts

NO otherwise

Answer: NO

Proof: Suppose there were an algorithm.

We could then write a new program H where H halts in input $x \iff$ program x does not halt on input x .

Taking $x = H$ gives a contradiction.

Corollary: \exists listable set that is not recursive.

Proof: $S = \{x \mid \text{program } x \text{ halts on input } x\}$.

S is listable:

for $x = 1$ to N

 simulate program x on input x for N steps

 and print x if it halts (in N steps)

Is S recursive? NO.

If it were, we could repeat the construction of H in the proof of the Halting problem.

Diophantine Sets:

Definition $S \subseteq \mathbb{Z}^n$ is diophantine \iff

$\exists p(\vec{t}, \vec{x}) \in \mathbb{Z}[t_1, \dots, t_n, x_1, \dots, x_m]$ such that

$$S = \{\vec{a} \in \mathbb{Z}^n \quad : \quad \exists \vec{x} \in \mathbb{Z}^m \quad p(\vec{a}, \vec{x}) = 0\}$$

Examples:

1. $\mathbb{N} = \{0, 1, 2, \dots\}$ is diophantine

$$\mathbb{N} = \{a \in \mathbb{Z} : \exists x_1, \dots, x_4 \in \mathbb{Z} \quad a = x_1^2 + \dots + x_4^2\}$$

2. $\mathbb{Z} \setminus \{0\}$ is diophantine

$a \neq 0 \iff \exists b, c \in \mathbb{Z}$ such that:

$$a = bc \qquad (b, 2) = 1 \qquad (c, 3) = 1$$

$$\mathbb{Z} \setminus \{0\} = \left\{ a \in \mathbb{Z} : \begin{array}{l} \exists b, c, p, q, r, s \text{ s.t.} \\ (a - bc)^2 + (bp + 2q - 1)^2 \\ + (cr + 3s - 1)^2 = 0 \end{array} \right\}$$

Proposition: Diophantine \implies listable.

Theorem(DPRM): Diophantine \iff listable.

Corollary: H10 has a negative answer.

Proof: Let $S \subseteq \mathbb{Z}$ be listable but not recursive.

DPRM \implies S is diophantine.

$\implies S = \{a \in \mathbb{Z} : \exists \vec{x} \ p(a, \vec{x}) = 0\}$

If H10 had a positive answer, then we could decide membership in S .

But S is not recursive.

Corollary: $\exists F \in \mathbb{Z}[x_1, \dots, x_n]$ such that

$$\{F(\vec{a}) : \vec{a} \in \mathbb{Z}^n\} \cap \mathbb{Z}_{\geq 0} = \underbrace{\{2, 3, 5, 7, \dots\}}_{\mathcal{P}}$$

Proof: \mathcal{P} is listable \implies (DPRM) \mathcal{P} is diophantine.

$$\mathcal{P} = \{a \in \mathbb{Z} : \exists \vec{x} \in \mathbb{Z}^n \quad p(a, \vec{x}) = 0\}$$

$$\text{Then } F(y_1, \dots, y_4, x) := (1 - p(y_1^2 + \dots + y_4^2, \vec{x})^2) \underbrace{(y_1^2 + \dots + y_4^2)}_{\geq 0}$$

Outline of Proof of DPRM:

1. Prove that the 3-term relation

$$a = b^x \quad \text{on } \mathbb{Z}_{>0}$$

is diophantine. (Uses Pell equation $x^2 - dy^2 = 1$)

2. $c = \binom{a}{b}$

$$\binom{a}{b} = \lfloor \frac{(x+1)^2}{x^b} \rfloor \pmod{x} \quad \text{if } x > 2^a$$

$$\{\text{bits of } a\} \subseteq \{\text{bits of } b\} \iff \binom{b}{a} \text{ is odd}$$